



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/711,905	11/15/2000	Shinya Abe	L8462.00102

## FORMALITIES LETTER



\*OC000000005844348\*

STEVENS DAVIS MILLER & MOSHER LLP  
1615 L Street NW  
Suite 850  
Washington, DC 20036-5622

Date Mailed: 03/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$668.
  - \$108 for 6 total claims over 20.
  - \$560 for 7 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1508.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/02/2001 FFANAEIA 00000051 09711905  
710.00 BP  
560.00 BP  
108.00 BP  
130.00 BP  
01 FC:101  
02 FC:102  
03 FC:103  
04 FC:105

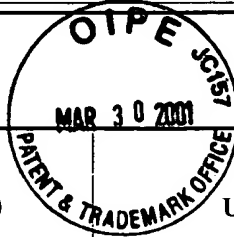
**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

*Section 8 #3*  
Docket No.  
L8462.00102

In Re Application Of:  
Shinya ABE

Serial No.  
09/711,905

Filing Date  
November 15, 2000



Examiner  
Unassigned

Group Art Unit  
Unassigned

Invention: **FOCUSING METHOD, METHOD OF DETECTING FOCAL POINT DEVIATION, METHOD OF FORMING MASTER OF DATA STORAGE MEDIUM, FOCUSING CONTROL UNIT, UNIT FOR DETECTING FOCAL POINT DEVIATION, AND UNIT FOR FORMING MASTER OF DATA STORAGE MEDIUM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
March 9, 2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Assignment with PTO-1595**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
L8462.00102

In Re Application Of:

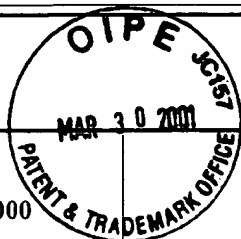
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Invention: **FOCUSING METHOD, METHOD OF DETECTING FOCAL POINT DEVIATION, METHOD OF FORMING MASTER OF DATA STORAGE MEDIUM, FOCUSING CONTROL UNIT, UNIT FOR DETECTING FOCAL POINT DEVIATION, AND UNIT FOR FORMING MASTER OF DATA STORAGE MEDIUM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

- |   |          |
|---|----------|
| <input checked="" type="checkbox"/> Utility application filing fee  | \$710.00 |
| <input type="checkbox"/> Design application filing fee  | _____    |
| <input checked="" type="checkbox"/> Total number of independent claims = 10   | \$560.00 |
| <input checked="" type="checkbox"/> Total number of claims = 26   | \$108.00 |
| <input type="checkbox"/> Multiple dependent claims  | _____    |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | \$130.00 |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor               | _____    |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification                      | _____    |
| <input type="checkbox"/> Fee for processing and retention of application  | _____    |

Total completion of application fees \$1,508.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- ☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$1,508.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

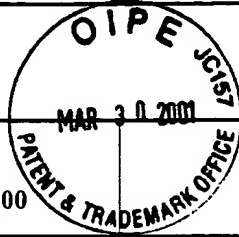
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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$1,508.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-4375  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

*Signature*

Dated: March 30, 2001

James E. Ledbetter, Esq.  
Registration No. 28,732  
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Washington, DC 20036  
Tel: 202-785-0100  
Fax: 202-408-5200

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

CC: